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1st Quarter, 2024

January 2024

S&P 500 Stocks

Summary

			Market Orders as %		Marketable Limit		Non-Marketab	le	
Total Non-Directed	Non-Directed Orders		of Non-Directed	Total Marketable	Orders as % of N	on-	Limit Orders as	s % of	Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Directed (Orders Total Other Orders	Non-Directed Orders
1,37	1 100.00	1,355	98.83	3	3	0.22	13	0.95	0 0.00

Venue - Non-				Marketable Limit			Non-Marketable	
	on-Directed Orders Orders(%)	Market Orders	Market Orders	%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%)	Other Orders
Citadel Securities LLC(CDRG) VIRTU Americas,	439	28.18	436	33.08	2	66.67	1 4.:	7 0
LLC(NITE)	342	21.95	338	25.64	0	0.00	4 16.	67 O
G1 Execution								
Services, LLC(ETMM) Jane Street Capital,	318	20.41	314	23.82	1	33.33	3 12.	60 0
LLC(JNST) National Financial	200	12.84	200	15.17	0	0.00	0 0.0	0 0
Services LLC(XSTM)	195	12.52	0	0.00	0	0.00	0 0.0	00 195
Instinet BlockCross(BLKX) Two Sigma	18	1.16	0	0.00	0	0.00	0 0.0	00 18
Securities, LLC(SOHO)	16	1.03	15	1.14	0	0.00	1 4.:	.7 0
StoneX Financial INC.(INTL)	8	0.51	8	0.61	0	0.00	0 0.0	0 0
NASDAQ Execution								
Services, LLC(NSDQ) UBS Securities,	8	0.51	0	0.00	0	0.00	8 33.3	0
LLC(UBSS)	7	0.45	7	0.53	0	0.00	0 0.0	0 0

January 2024

Non-S&P 500 Stocks

Summary

			Market Orders as %		Marketable Lim	it	Non-Marketa	ble	
Total Non-Directed	Non-Directed Order	S	of Non-Directed	Total Marketable	Orders as % of N	lon-	Limit Orders a	as % of	Other Orders as % of
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Directed	Orders Total Other Orders	Non-Directed Orders
2,7	76 100.0	0 2,698	97.19	-	17	0.61	50	1.80 1	1 0.40

Venues

Venue - Non- directed Order Flow N Citadel Securities	Non-Directed on-Directed Orders Orders(%)	d Market	Orders Ma		Marketable Limit Orders I	Marketable Limit Orders(%)		Non-Marketable Limit Orders(%)	Other Orders
LLC(CDRG)	934	25.77	911	34.24	8	47.0	6 7	8.24	8
National Financial									
Services LLC(XSTM)	838	23.12	0	0.00	0	0.0	0 0	0.00	838
VIRTU Americas,									
LLC(NITE)	657	18.12	649	24.39	2	11.7	6 6	7.06	0
C1 Execution									
G1 Execution	604	10.00	502	22.20	4	22 5	2	4 71	2
Services, LLC(ETMM) Jane Street Capital,	604	16.66	593	22.28	4	23.5	3 4	4.71	3
LLC(JNST)	462	12.74	454	17.06	3	17.6	5 5	5.88	0
Two Sigma					-			0.00	· ·
Securities,									
LLC(SOHO)	30	0.83	28	1.05	0	0.0	0 2	2.35	0
NYSE Arca(ARCA)	25	0.69	0	0.00	0	0.0	0 25	29.41	0
UBS Securities,									
LLC(UBSS)	20	0.55	19	0.71	0	0.0	0 1	1.18	0
Cboe EDGX US									
Equities									
Exchange(EDGX)	19	0.52	0	0.00	0	0.0	0 19	22.35	0
Instinet	12	0.26	0	0.00	0		0	0.00	40
BlockCross(BLKX)	13	0.36	0	0.00	0	0.0	0 0	0.00	13

January 2024

Option Contracts

Summary

			Market Orders as 9	6	Marketable Limi	t	Non-Mar	ketable		
Total Non-Directed	Non-Directe	d Orders	of Non-Directed	Total Marketable	Orders as % of N	on-	Limit Ord	ers as % of	Ot	ther Orders as % of
Orders	as % of All O	rders Total Market Orde	rs Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Dire	cted Orders Total Other Or	rders No	on-Directed Orders
2	42	100.00	7 16.	57	4	9.52	31	73.81	0	0.00

Venues

Venue - Non- directed Order Flow Non-Directed C Citadel Securities	Non-Directed ted Orders Orders(%) Mark				Marketable LimitOrdersMarketable Limit Orders(%)		on-Marketable mit Orders	Non-Marketable Limit Orders(%)	Other Orders	
LLC(CDRG)	18	40.91	4	57.14	1	20.00	2	13 40.	53 0	
Wolverine Execution Services LLC(WEXX) Dash Financial	9	20.45	0	0.00	0	0.00		9 28.	13 0	
Technologies LLC(DASH)	8	18.18	0	0.00	2	40.00		6 18.	75 0	
Interactive Brokers LLC(IBKR) Morgan Stanley &	4	9.09	0	0.00	1	20.00		3 9.	38 0	
Company LLC(MSCO)	3	6.82	1	14.29	1	20.00		1 3.	L3 O	
Susquehanna Capital Group(SUSQ)	2	4.55	2	28.57	0	0.00		0 0.0	0 0	

February 2024

S&P 500 Stocks

Summary

				Market Orders as %		Ma	larketable Limit		Ν	lon-Marketable		
Total Non-D	oirected	Non-Directed Orders		of Non-Directed	Total Marketable	Or	rders as % of Non-		L	imit Orders as % of	Other Orders as	% of
Orders		as % of All Orders	Total Market Orders	Orders	Limit Orders	Di	irected Orders	Total Non-Marketable Limit Orders	Ν	Ion-Directed Orders Total Other Orders	Non-Directed Or	ders
	2,768	3 100.00	2,726	98.48	1	12	0.43		21	0.76	9	0.33

Venue - Non- directed Order Flow Citadel Securities	Non-Directed Non-Directed Orders Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders
LLC(CDRG)	963	31.73	947 35.3	30	6	54.55	6 22.2	2 4
VIRTU Americas, LLC(NITE)	698	23.00	691 25.7	75	1	9.09	3 11.1	. 3
G1 Execution								
Services, LLC(ETMM)	553	18.22	550 20.5	50	1	9.09	1 3.7) 1
Jane Street Capital, LLC(JNST)	461	15.19	453 16.8	38	3	27.27	5 18.5	2 0
National Financial								
Services LLC(XSTM) Two Sigma	299	9.85	0 0.0	JU	0	0.00	0 0.0) 299
Securities, LLC(SOHO)	26	0.86	25 0.9	93	0	0.00	0 0.0) 1
UBS Securities,								
LLC(UBSS) Instinet	13	0.43	13 0.4	18	0	0.00	0 0.0) 0
BlockCross(BLKX)	6	0.20	0 0.0	00	0	0.00	0 0.0	6
NASDAQ Execution Services, LLC(NSDQ)	6	0.20	0 0.0	00	0	0.00	6 22.2	2 0
Cboe EDGX US Equities								
Exchange(EDGX)	4	0.13	0 0.0	00	0	0.00	4 14.8	0
February 2024								
Non-S&P 500 Stocks Summary								
Total Non-Directed Orders		Market Orders as of Non-Directed Orders Orders	s % Total Marketable Limit Orders	Marketable Limit Orders as % of No Directed Orders	n- Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % Non-Directed Orde	of ers Total Other Orders	Other Orders as % of Non-Directed Orders
4,029	100.00				.69		66 1	
Venues								

Venue - Non- directed Order Flow Non-D Citadel Securities	Non-Directo irected Orders Orders(%)	ed Market Or	ders Market	Marketa Orders(%) Orders	ble Limit Marketable Limit Orders(%)	Non-Market Limit Orders		arketable rders(%) Other Orc	lers
LLC(CDRG)	1,354	26.30	1,322	34.65	9	40.91	12	7.50	11
National Financial Services LLC(XSTM)	1,119	21.74	0	0.00	0	0.00	0	0.00	1,119
VIRTU Americas, LLC(NITE)	1,024	19.89	1,003	26.29	6	27.27	9	5.63	6
G1 Execution	212	45.70	70.6	20.07	_	22.72	10	6.25	2
Services, LLC(ETMM) Jane Street Capital,	813	15.79	796	20.87	5	22.73	10	6.25	2
LLC(JNST) Two Sigma	626	12.16	615	16.12	1	4.55	10	6.25	0
Securities, LLC(SOHO) Cboe EDGX US	45	0.87	42	1.10	0	0.00	3	1.88	0
Equities Exchange(EDGX) New York Stock	44	0.85	0	0.00	0	0.00	44	27.50	0
Exchange(NYSE) UBS Securities,	22	0.43	0	0.00	0	0.00	22	13.75	0
LLC(UBSS)	22	0.43	21	0.55	1	4.55	0	0.00	0
NASDAQ Execution Services, LLC(NSDQ)	20	0.39	0	0.00	0	0.00	20	12.50	0
February 2024									
Option Contracts Summary									
Total Non-Directed Non-D Orders as % or 74	irected Orders f All Orders Total Marke 100.00	Market Or of Non-Dir et Orders 11		larketable Orders a	ible Limit is % of Non- I Orders Total Non-Marketable Limit Ord 27.03	Non-Market Limit Orders lers Non-Directe 43	as % of	Other Ord ther Orders Non-Dire 0	ders as % of cted Orders 0.00

Venue - Non- directed Order Flow Non-Directed	Non-Directe Orders Orders(%)	d Market Orders	Market Orde	Marketable Li ers(%) Orders	mit Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders
Citadel Securities LLC(CDRG)	39	52.70	6	60.00	10	50.00	23 52.2	0
Wolverine Execution Services LLC(WEXX) Dash Financial	13	17.57	0	0.00	0	0.00	13 29.5	5 0
Technologies LLC(DASH)	12	16.22	1	10.00	3	15.00	8 18.3	.8 0
Susquehanna Capital Group(SUSQ) Morgan Stanley &	9	12.16	3	30.00	6	30.00	0 0.0	0 0
Company LLC(MSCO)	1	1.35	0	0.00	1	5.00	0 0.0	0 0
March 2024								
S&P 500 Stocks Summary								
Total Non-Directed Non-Directed Orders as % of All Ord		Market Orders a of Non-Directed t Orders Orders	Total Market Limit Orders	Directed Orde	Non-		of ers Total Other Orders .13	Other Orders as % of Non-Directed Orders 3 0.24
1,237 Venues	100.00	1,218 9	8.46	2	0.10	14 1	.13	5 0.24

Venue - Non-	Non-Directed	l		Marketable Limit		Non-Marketable	Non-Marketa	ble	
directed Order Flow	Non-Directed Orders Orders(%)	Market Orders	Market Order	s(%) Orders	Marketable Limit Orders(%)	Limit Orders	Limit Orders(%) Other Orders	
Citadel Securities									
LLC(CDRG)	406	29.66	404	33.61	0	0.00	1	5.88	1
VIRTU Americas,									
LLC(NITE)	352	25.71	348	28.95	0	0.00	2	11.76	2
Jane Street Capital,									
LLC(JNST)	222	16.22	218	18.14	1	50.00	3	17.65	0

Services, LLC(ETMM)20815.1920617.141National Financial14410.5200.000Two Sigma	0.00
Securities, LLC(SOHO) 15 1.10 15 1.25 0 StoneX Financial NC.(INTL) 7 0.51 7 0.58 0	0.00
NASDAQ Execution Services, LLC(NSDQ) 5 0.37 0 0.00 0 UBS Securities,	0.00
LLC(UBSS) 4 0.29 4 0.33 0 Cboe EDGX US Fequities Fequities Fequities 0 0.00 0 Exchange(EDGX) 2 0.15 0 0.00 0	0.00

March 2024

Non-S&P 500 Stocks Summary

			Market Orders as %		Marketable Limit		Non-Mar	Non-Marketable			
Total Non-Directed Non-Directed Orders		of Non-Directed	Total Marketable	Orders as % of Non-		Limit Orders as % of			Other Orders as % of		
Orders		as % of All Orders	Total Market Orders	Orders	Limit Orders	Directed Orders	Total Non-Marketable Limit Orders	Non-Dire	cted Orders Total Ot	ther Orders	Non-Directed Orders
	2,263	100.00	2,130	94.12	33	3 :	.46	67	2.96	33	1.46

Venue - Non- directed Order Flow Non-Dir	Non-Directer rected Orders Orders(%)		t Orders Market	Marketa Orders(%) Orders	ble Limit Marketable Limit Orders(%)	Non-Market Limit Orders		larketable Drders(%) Other Or	rders
National Financial									
Services LLC(XSTM)	937	29.18	0	0.00	0	0.00	0	0.00	937
Citadel Securities									
LLC(CDRG)	779	24.26	743	35.60	11	33.33	16	17.78	9
VIRTU Americas,									
LLC(NITE)	575	17.91	538	25.78	7	21.21	6	6.67	24
Jane Street Capital,									
LLC(JNST)	412	12.83	403	19.31	5	15.15	4	4.44	0

1	5.88	0
0	0.00	144
0	0.00	0
0	0.00	0
5	29.41	0
0	0.00	0
2	11.76	0

G1 Execution Services, LLC(ETMM) Instinet BlockCross(BLKX) Cboe EDGX US	379 31	11.80 0.97	359 0	17.20 0.00	9 0	27.27 0.00
Equities Exchange(EDGX)	26	0.81	0	0.00	0	0.00
NASDAQ Execution Services, LLC(NSDQ) Two Sigma	23	0.72	0	0.00	0	0.00
Securities, LLC(SOHO) UBS Securities,	17	0.53	17	0.81	0	0.00
LLC(UBSS)	17	0.53	16	0.77	1	3.03
March 2024						
Option Contracts						

Summary

			Market Orders as %		Mar	Marketable Limit		Non-Mar	Non-Marketable			
Total Non-Directed	l Non-Di	rected Orders		of Non-Directed	Total Marketable	Orde	ers as % of Non-		Limit Ord	ers as % of		Other Orders as % of
Orders	as % of	All Orders	Total Market Orders	Orders	Limit Orders	Dire	ected Orders	Total Non-Marketable Limit Orders	Non-Dire	cted Orders Total O	ther Orders	Non-Directed Orders
7	79	100.00	22	26.58	3 1	12	15.19		46	58.23	C	0.00

Venue - Non- directed Order Flow Non-Directe	Non-Directe ed Orders Orders(%)	d Market Orders	Market Orde	Marketable L ers(%) Orders	.imit Marketable Limit Orders(%)	Non-Marketab Limit Orders	le Non-Marke Limit Order		
Citadel Securities LLC(CDRG)	49	44.55	14	66.67	6	50.00	29	37.66	0
Wolverine Execution Services LLC(WEXX) Dash Financial	28	25.45	0	0.00	0	0.00	28	36.36	0
Technologies LLC(DASH)	19	17.27	3	14.29	2	16.67	14	18.18	0
Susquehanna Capital Group(SUSQ)	12	10.91	4	19.05	4	33.33	4	5.19	0

11	12.22	0
0	0.00	31
26	28.89	0
23	25.56	0
0	0.00	0
0	0.00	0

Morgan Stanley &						
Company						
LLC(MSCO)	2	1.82	0	0.00	0	0.00

	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Receive Other Orders per hundred
0.00	C) C) (0	0	0 0) ()
0.00	C) C) (0	0	0 () ()
0.00	C) () (0	0	0 () ()
0.00	C	0 0) (0	0	0 0) ()
91.55	C) () (0	0	0 0) ()
8.45	C) C) (0	0	0 () ()
0.00	C) C) (0	0	0 0) ()
0.00	C) () (0	0	0 0) ()
0.00	C) C) (0	0	0 0) ()
0.00	C) C) (0	0	0 0) ()

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	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent per hundred shares		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares	Net Payment Paid/Received for 5) Other Orders(USD)	Net Payment Paid/Received for Other Orders(cent per hundred share	s
0.93	C)	0	0	0	0	0	0	0
97.22	C)	0	0	0	0	0	0	0
0.00	C)	0	0	0	0	0	0	0
0.35	C)	0	0	0	0	0	0	0
0.00	C)	0	0	0	0	0	0	0
0.00	C			0	0			0	0
0.00	C)	0	0	0	0	0	0	0
0.00	C)	0	0	0	0	0	0	0
0.00	C)	0	0	0	0	0	0	0
1.51	C)	0	0	0	0	0	0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD	Net Payment Paid/Received for Market Orders(cent) per hundred shares	Net Payment Paid/Received for s Marketable Limit) Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Limit Orders(cents	Net Payment Paid/Received for) Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares	
0.	00	0	0	0	0	0	0	0	0
0.	00	0	0	0	0	0	0	0	0
0.	00	0	0	0	0	0	0	0	0
0.	00	0	0	0	0	0	0	0	0
0.	00	0	0	0	0	0	0	0	0
0.	00	0	0	0	0	0	0	0	0

	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Receive Other Orders per hundred
1.27	C) C)	0	0	0 () C)
0.96	C) C)	0	0	0 () C)
0.32	C) C)	0	0	0 () C)
0.00	C) C)	0	0	0 () C)
95.22	C) C)	0	0	0 () ()
0.32	C) C)	0	0	0 0) C)
0.00	C) C)	0	0	0 () ()
1.91	C) C)	0	0	0 () ()
0.00	C) C)	0	0	0 (D C)
0.00	C) C)	0	0	0 () C)

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ed shares)

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0			

	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Receive Other Orders per hundred
0.96	C) ()	0	0	0 () ()
97.22	C) ()	0	0	0 () ()
0.52	C) ()	0	0	0 0) ()
0.17	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 () ()
0.00	C) ()	0	0	0 0) ()

ent ived for ers(cents

ed shares)

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C		Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cent) per hundred shares		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares	
	0.00	(0	0	0	0	0 ()	0	0
	0.00	(0	0	0	0	0 () (0	0
	0.00	(0	0	0	0	0 0) (0	0
	0.00	()	0	0	0	0 ()	0	0
	0.00	(0	0	0	0	0 ()	0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares	
0.68	c C) ()	0	0	0 0)	0	0
1.35	C) ()	0	0	0 0)	0	0
0.00) () ()	0	0	0 0)	0	0

0.00	0	0	0	0	0	0	0
97.30	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	•	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares	S
93.61	0	0	0	0	0 0) ()	0
0.90	0	0	0	0	0 0) (0	0
2.40	0	0	0	0	0 0) (0	0
0.00	0	0	0	0	0 0) (0	0

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3.10	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0
0.00	0	0	0	0	0	0	0

Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)		Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Receive Other Orders per hundred s
0.00	C) ()	0	0	0 0) ()
0.00	C) ()	0	0	0 0) ()
0.00	C) ()	0	0	0 C) ()
0.00	C) ()	0	0	o c) ()

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0.00	0	0	0	0	0	0	0